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August 27, 2002

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VIA FIRST CLASS MAIL

Box MISSING PARTS
Assistant Commissioner for Patents
Washington, D.C. 20231



Certificate of Mailing

I hereby certify that this correspondence is being deposited on August 27, 2002 with the U.S. Postal Service as under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

Name: Pamela Shultz

Signature: Pamela C. Shultz

Re: Patent Application for:
"Method and System for Data Packet Filtering Using Tree-Like Hierarchy"
Serial No. 10/028,473
Attorney Docket No. P12692-US2/50001.2070

Dear Sir or Madam:

Enclosed for filing please find the following items relating to the above-identified application:

- (1) Response to Notice to File Missing Parts of Application Filing Date Granted (3 pages)
- (2) Part 2 – Notice to File Missing Parts of Nonprovisional Application (1 page)
- (3) Part 2 – Notice of Incomplete Reply (1 page)
- (4) Substitute Drawings (4 pages)

If you have any questions or comments concerning this matter, please feel free to contact the undersigned at 972-583-8656.

Sincerely,

Sidney L. Weatherford
Intellectual Property Counsel

SLW/ps



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

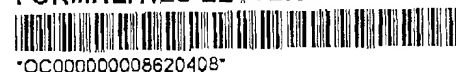
APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/028,473	12/28/2001	Peter R. Denz	50001 2070

Arthur I. Navarro
Godwin Gruber, P.C.
Suite 655
801 E. Campbell Rd.
Richardson, TX 75081



CONFIRMATION NO. 1920

FORMALITIES LETTER



OC00000008620408

Date Mailed: 08/14/2002

NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

Filing Date Granted

The U.S. Patent and Trademark Office has received your reply on 07/28/2002 to the Notice to File Missing Parts (Notice) mailed 01/28/2002 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because.
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch); a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

#8

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.
P12692/50001.2070

In Re Application Of: **Denz et al**

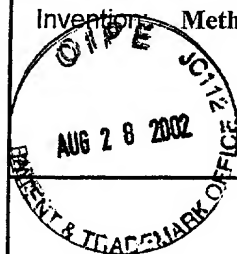
Serial No.
10/028,473

Filing Date
12/28/2001

Examiner

Group Art Unit

Invention: **Method and System for Data Packet Filtering Using Tree-Like Hierarchy**



TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on
01/28/02 & 08/14/02
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☐ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☐ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ Other (list):

Substitute drawings

Area for substitute drawings.

08/30/2002 CHAU1 00000062 501379 10028473
02 FC:117 520.00 CH

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.
P12692/50001.2070

In Re Application Of: **Denz et al**

Serial No.
10/028,473

Filing Date
12/28/2001

Examiner

Group Art Unit

Invention: **Method and System for Data Packet Filtering Using Tree-Like Hierarchy**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

☒ Completion of application fees as calculated below:

☐ Utility application filing fee _____

☐ Design application filing fee _____

☐ Total number of independent claims = _____

☐ Total number of claims = _____

☐ Multiple dependent claims _____

☐ Surcharge for late payment of filing fee and/or late filing of original declaration or oath _____

☐ Petition and fee for filing by other than all the inventors or a person not the inventor _____

☐ Fee for processing an application filed with a non-English language specification _____

☒ Fee for processing and retention of application **\$130.00**

Total completion of application fees **\$130.00**

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☒ One month ☐ Two months ☐ Three months ☐ Four months ☐ Five months

Additional

from: 07/28/02
Date

until: 08/28/02
Date

Total time extension fees **\$520.00**

Total fees due **\$650.00**

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Large Entity)**

Docket No.
P12692/50001.2070

In Re Application Of: **Denz et al**

Serial No.
10/028,473

Filing Date
12/28/2001

Examiner

Group Art Unit

Invention: **Method and System for Data Packet Filtering Using Tree-Like Hierarchy**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

The fee of **\$650.00** is to be paid as follows:

- ☐ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. **501379**
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. **501379**
A duplicate copy of this sheet is enclosed.


Signature

Dated: **August 27, 2002**

Sidney L. Weatherford
Reg. No. 45,602
Intellectual Property Counsel
Ericsson Inc.
6300 Legacy Drive, MS/EVW2C2
Plano, Texas 75024
Direct Line: 972-583-8656
Fax Line: 972-583-7864

I certify that this document and fee is being deposited
on **August 27, 2002** with the U.S. Postal Service as
first class mail under 37 C.F.R. 1.8 and is addressed to the
Assistant Commissioner for Patents, Washington, D.C.
20231.


Signature of Person Mailing Correspondence

Pamela C. Shultz

Typed or Printed Name of Person Mailing Correspondence

CC: